

Electronic Acknowledgement Receipt

EFS ID:	6458638
Application Number:	10026359
International Application Number:	
Confirmation Number:	8067
Title of Invention:	METHOD AND SYSTEM FOR COMPUTER-IMPLEMENTED TRADING OF SECONDARY MARKET DEBT SECURITIES
First Named Inventor/Applicant Name:	Brijesh Agarwal
Customer Number:	28089
Filer:	Irah Donner/Michael Riego
Filer Authorized By:	Irah Donner
Attorney Docket Number:	1497210.00123US1
Receipt Date:	16-NOV-2009
Filing Date:	24-DEC-2001
Time Stamp:	15:13:08
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$200
RAM confirmation Number	1382
Deposit Account	080219
Authorized User	LADD,CATHLEEN

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Patent Term Adjustment Petition	1497210_123US1_PTA_Reconsideration.pdf	90027 56198937416528e8bec9091fd1d2fafc300cf e6c9e	no	3

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30511 65ac259a6d113ab316024bb656b965cf 53e914	no	2
---	-------------------------	--------------	---	----	---

Warnings:

Information:

Total Files Size (in bytes):	120538
------------------------------	--------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/D/O/E/O/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.